# JC03 Rec'd PCT/PTO 1 2 SEP 2005

# NAME UNITED STATES PATENT AND TRADEMALIK OFFICE

Atty's Dkt: KOGANTY4A

In re Application of:

Zi-Hua JIANG
Serial No. 10/511,101

IA Filing Date: April 9, 2003
For: SYNTHETIC GLYCO-LIPO-PEPTIDES AS VACCINES

Atty's Dkt: KOGANTY4A

Application Division

ATTN: PCT

Washington, D.C.

Confirmation No. 6156

Date: September 12, 2005

#### LATE SUBMISSION OF DECLARATION AND/OR TRANSLATION IN APPLICATION FILED UNDER 35 USC 371

U.S. Patent and Trademark Office Customer Service Window Randolph Building, Mail Stop Missing Parts 401 Dulany Street Alexandria, VA 22314

Sir:

The present communication is in response to the "NOTICE OF MISSING REQUIREMENTS UNDER 35 USC 371..." dated April 13, 2005. Attached hereto is/are:

- [XX] Three (3) declarations in compliance with 37 C.F.R. 1.63, identifying the present application by title and serial number.
- [XX] A Supplemental ADS
- [XX] A Preliminary Amendment [XX] Fees are reduced due to elimination of claim multiple dependencies.
- [XX] Additional fees as calculated below:

[ ] Basic fee			
Surcharge of \$130.00 from the earliest claim	\$130.00		
Number of each addi up to a whole numbe	tional 50 pages or fraction thereof (round r)	RATE	
		X \$250.00	
Number of Claims Previously Paid For	Number of Extra Claims	Rate	
99 - 20 =	79	X \$ 50.00	\$3,950.00
3 - 3 =	0	X \$200.00	\$
Multiple Dependent C	laims (if applicable)	+ \$360.00	\$
	\$4,080.00		
Reduction of ½ for fili See 37 CFR 1.27.	<\$2,040.00>		
	\$2,040.00		
Processing fee of \$13	\$		
	\$2,040.00		

[XX] It is hereby petitioned for an extension of time in accordance with 37 C.F.R. 1.136(a). The appropriate fee required by 37 C.F.R. 1.17 is calculated as shown below:

Small Entity			Other Than Small Entity				
Respon	se Filed With	in	-	Response	Filed With	nin	
	First	-	\$ 60.00	[ ]	First	-	\$ 120.00
[ ]	Second	-	\$ 225.00	[ ]	Second	-	\$ 450.00
[XX]	Third	-	\$ 510.00	[ ]	Third	-	\$1,020.00
Ĺĺ	Fourth	-	\$ 795.00	į j	Fourth	-	\$1,590.00
[ ]	Fifth	-	\$1,080.00	Ĺ	Fifth	-	\$2,160.00
month a	fter time peri	od set		month after	er time per	iod set	

[XX] Total fees enclosed: \$ 2,550.00

[XX] Credit Card Payment Form, PTO-2038, authorizing payment the amount of \$ 2,550.00 is enclosed to cover the above fees.

[XX] Conditional Petition for Extension of Time:

If any extension of time for a response is required, applicant requests that this be considered a petition therefor.

[XX] The Commissioner is hereby authorized and requested to charge any additional fees which may be required in connection with this application or credit any overpayment to Deposit Account No. 02-4035. This authorization and request is not limited to payment of all fees associated with this communication, including any Extension of Time fee, not covered by check or specific authorization, but is also intended to include all fees for the presentation of extra claims under 37 CFR 1.16 and all patent processing fees under 37 CFR 1.17 throughout the prosecution of the case. This blanket authorization does <u>not</u> include patent issue fees under 37 CFR 1.18.

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01 FC:2617 02 FC:2615 03 FC:2253

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BROWDY AND NEWARK, P.L.L.C. Attorneys for Applicative)

By:

Iver P. Cooper

Registration No. 28,005

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## United States Patent and Trademark Office

KOGAUTY=4A

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Virginia 22313-1450 www.upto.gov

FIRST NAMED APPLICANT ATTY. DOCKET NO. U.S. APPLICATION NUMBER NO. KOGANTY 4PCT Zi-Hua Jiang 10/511,101 INTERNATIONAL APPLICATION NO. RECEIVED PCT/US03/10750 001444 PRIORITY DATE I.A. FILING DATE BROWDY AND NEIMARK, P.L.L.C. 04/09/2003 04/15/2002 624 NINTH STREET, NW 2005 APR 18 SUITE 300 WASHINGTON, DC 20001-5303 **CONFIRMATION NO. 6156 371 FORMALITIES LETTER** BROWDY AND NEIMARK WASHINGTON, D.C. 20004

Date Mailed: 04/13/2005

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 10/14/2004
- Copy of the International Search Report filed on 10/14/2004
- Request for Immediate Examination filed on 10/14/2004
- U.S. Basic National Fees filed on 10/14/2004
- Priority Documents filed on 10/14/2004

MSP: 13 JE2005.

\*OC00000015721868\*

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$6710 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$6840 for a Large Entity:

\$130 Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is \$ 6710
  - \$6350 for 127 total claims over 20.
  - \$360 for multiple dependent claim surchare.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

### LAMONT M HUNTER

Telephone: (703) 308-9140 EXT 201

## PART 1 - ATTORNEY/APPLICANT COPY

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1	U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
•	10/511,101	PCT/US03/10750	KOGANTY 4PCT

FORM PCT/DO/EO/905 (371 Formalities Notice)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

### SUBMISSION OF CORRECTED APPLICATION DATA SHEET

U.S. Patent and Trademark Office Customer Service Window Randolph Building, Mail Stop 401 Dulany Street Alexandria, VA 22314

Sir:

Attached herewith please find a corrected application data sheet, which correctly asserts the addresses of the inventors and small entity status. It is respectfully requested that this document replace the originally submitted application data sheet and be entered into the record of the above-identified application and that the PTO records be corrected accordingly.

BROWDY AND NEIMARK, P.L.L.C.

Attorneys for Applicant

By Iver P. Cooper

Registration No. 28,005

IPC:1mh

Telephone No.: (202) 628-5197 Facsimile No.: (202) 737-3528

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